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# CONSORT extension for reporting N-of-1 trials for traditional Chinese medicine (CENT for TCM) : Recommendations, explanation and elaboration



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# ABSTRACT

*Background:* N-of-1 trial is a desired and appropriate approach to assessing the efficacy and safety of traditional Chinese medicine (TCM) interventions. There have been an increasing number of N-of-1 trials for TCM published. However, a lack of preferred reporting guidance led in the general poor reporting quality of these trials. Due to the unique characteristics of TCM, the working group developed this CONSORT Extension for reporting N-of-1 Trials for Traditional Chinese Medicine (CENT for TCM) to assist TCM researchers in reporting N-of-1 trials for TCM.

*Methods*: We registered CENT for TCM at the EQUATOR (Enhancing the QUAlity and Transparency Of health Research) Network (available at equator-network.org). The development was a comprehensive process through collection of the initial reporting items, two-round scientific Delphi consensus survey with 17 panelists, revision and formation of the final reporting checklist.

*Results*: The checklist includes 25 items within six domains, eight items in which were extended and elaborated on the items of the CENT 2015 checklist. Explanation of the items were listed adequately considering the nature of TCM, introducing the concept of TCM syndrome differentiation and TCM interventions.

*Conclusions*: CENT for TCM can be used to assess the completeness of the reporting of N-of-1 trials for TCM. The working group expect that CENT for TCM could be a practical tool to enhance the comprehensiveness and transparency of the design, implementation and reporting of N-of-1 trials for TCM.

# 1. Introduction

N-of-1 trials are multiple crossover trials conducted in a single patient,<sup>1,2</sup> involving switching treatments and systematic, repeated outcome assessments,<sup>3</sup> which are methodologically rigorous studies to determine the treatment effect and make evidence-based treatment decisions for the individual patient.<sup>4,5</sup> Since N-of-1 trial was formally proposed as a personalized method to solve the average effect generated by population-based parallel group randomized controlled trials (RCTs),<sup>1</sup> with the improvement of experimental methodology, there has

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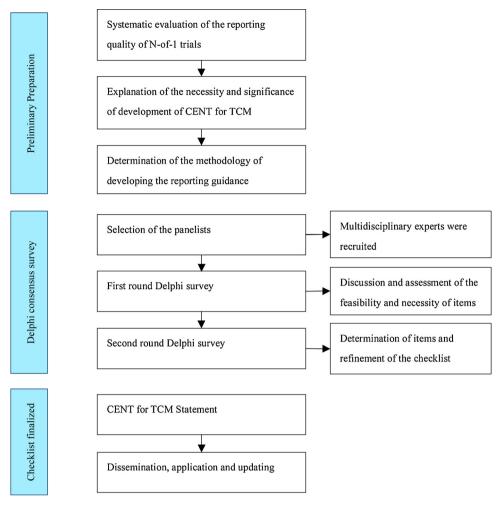


Fig. 1. Flow diagram of the development process.

been increasing number N-of-1 trials in evaluating a variety of health interventions for a wide range of medical disorders in both clinical practice and studies.<sup>6</sup> At present, N-of-1 trials is acknowledged as an useful tool for maximizing clinical benefits for individual patient and with great potential to provide effective information for all clinical fields.<sup>7</sup>

TCM, with a history of more than 2000 years,<sup>8</sup> is a unique, independent medical system with its own comprehensive theory. The key concepts of TCM are holism and treatment based on syndrome differentiation. In the clinical practice of TCM, practitioners determine the appropriate treatment based on TCM syndromes, which are in turn recognized by way of individual symptoms, clinical signs, and the constitution of the patient.<sup>9</sup> Patients with the same disease might be different in TCM syndromes and would accept separately individual interventions so that a scientific clinical research methodology which reflects the characteristics of individualized diagnosis and treatment of TCM is essential for assessing the efficacy. N-of-1 trials coincide naturally with the principle of crossover treatments based on the participants' clinical actual situation and are recognized as an attractive approach for TCM clinical trials.,<sup>10,11</sup>

In TCM N-of-1 trials, TCM intervention A or B is more beneficial to the specific patient with a TCM syndrome can be assessed through quantified analysis of crossing outcome measurement. Moreover, thinking of the temporal changes of TCM syndrome diagnosis, the design of N-of-1 trials makes it possible for clinical researchers tailoring individual TCM treatments in each alternation compared with corresponding placebo. TCM N-of-1 trial is the research method most consistent with TCM clinical diagnosis and treatment and has been paid great attention to.12

Recent years, an increasing number of N-of-1 trials using TCM interventions were published or conducted.<sup>13–18</sup> However, the reporting quality of these trials is disappointed.<sup>19</sup> In 2015, CONSORT extension for reporting N-of-1 trials (CENT) was developed to improve the reporting quality of N-of-1 trials.<sup>5,20</sup> Nevertheless, items in CENT are not fully suitable for reporting N-of-1 trials of TCM, because the CENT guidelines do not include the unique characteristics of TCM.

To address this gap, CONSORT Extension for reporting N-of-1 Trials for Traditional Chinese Medicine (CENT for TCM) was developed based on the relevant reporting guidelines.  $^{5,21,22}$  CENT for TCM is targeted at researchers of TCM clinical trials. In the article, we present the methodology of the development process, the final reporting checklist and explanation.

## 2. Methods

This work was registered at the EQUATOR (Enhancing the QUAlity and Transparency Of health Research) Network (www.equatornetwork.org). The development of CENT for TCM involved a multistep process followed the EQUATOR criteria.<sup>23</sup>

Specifically, we first obtained permission rights from the CENT group for a standard translation of Chinese version of CENT. Based on (1) the normative implementation,  $^{3,6,24}$  of N-of-1 trials, (2) systematic review of published trials<sup>19</sup> and (3) the reporting items emphasized by CENT,  $^{5,20}$  42 items in 6 domains were included in an initial reporting list combined with the diagnostic and therapeutic specificity of TCM.

Second, following the principles of representativeness and

universality,<sup>25,26</sup> seventeen panelists were recruited for the Delphi consensus survey, including two biomedicine statistics experts and one editor from America, one clinical trial methodology expert from Canada, thirteen researchers who have profound qualifications in the fields of clinical epidemiology, clinical medicine, evidence-based medicine and TCM from China. The Delphi survey questionnaire was designed based on the initial reporting list. Respondents were asked to rate each item on a Likert scale from 1 (completely irrelevant) to 5 (highly relevant). To accommodate both English and Chinese speakers, versions of the questionnaire were prepared in both languages. The Delphi process involved two rounds e-mail–based surveys. Panelists rated each item, assessed feasibility and necessity of the items and suggested new items in the first round. In the second round, items with consensus were reconfirmed and each item was elaborated.

After the Delphi consensus survey, the working group members discussed the results, undertook a comprehensive review of the respondents' feedback, refined each item, agreed on the final reporting list and gave explanation to the items. The flow diagram of the development of CENT for TCM is showed in Fig. 1.

#### 3. Results

CENT for TCM checklist is the extension of the CENT 2015 items and comprises a total of 40 sub-items (Table 1). Item 1c, keywords, is new added. In Table 2, explanation and elaboration are given for items to assist researchers using the checklist.

Corresponding items related to the key concepts of syndrome differentiation, characteristics of TCM and different types of interventions are elaborated below.

# 3.1. Title, abstract and keywords

#### 3.1.1. Item1a

Identify as an "N-of-1 trial for traditional Chinese medicine" in the title; for series: Identify as "a series of N-of-1 trials for traditional Chinese medicine" in the title.

A self-explanatory title reflects the study type and essential information. This item is recommended to ensure the study can be clearly identified as N-of-1 trial(s) for TCM, including the diagnosis, a specific TCM syndrome and the TCM interventions to be evaluated, such as CHM formulas or acupuncture.

# 3.1.2. Item1c

Determination of appropriate keywords, including "traditional Chinese medicine" and "(series of) N-of-1 trial(s)"

The name of TCM interventions in keywords can be Chinese Pinyin, acronym or an English translation,<sup>22</sup> which is difficult to determine whether the intervention is associated with TCM. Consequently, it would be appropriately indexed and easily identified after adding the keyword "traditional Chinese medicine".

# 3.2. Participant(s)

## 3.2.1. Item4a

Diagnosis of a Western medicine–defined disease and the TCM syndrome differentiation; For series: inclusion and exclusion criteria for participants

The diagnostic criteria and syndrome differentiation reference should be given to where detailed explanation can be found

TCM syndrome plays an important role in determining the TCM therapeutic principles,<sup>22</sup> however, clinical trials of TCM usually recruit participants using the diagnostic criteria of Western medicine-defined disease, an approach inconsistent with the emphasis on syndrome differentiation of the individual. So detailed reporting of the syndrome differentiation of participants is recommended in order to reflect the whole disorder characteristic of participants from the theory of TCM.

Futhermore, the syndrome differentiation reference should be reported so as to identify how the researchers conducted the process of TCM syndrome differentiation. Although there is no gold standard for TCM syndrome differentiation currently, related references developed by professional organizations like *Chinese Association of Integrative Medicine* and *China Association of Chinese Medicine* are recognized in the TCM field in which the detailed explanation can be found. For a single N-of-1 trial, a detailed description of the patient's clinical symptoms, syndrome differentiation, disease progression, complications, and surgical history is essential<sup>30</sup> and for N-of-1 trial series, researchers should report the participants' demographic and clinical information comprehensively,<sup>31–33</sup> a table is recomended. Such information is necessary to interpret and reproduce the study.

# 3.3. Interventions

#### 3.3.1. Item5

Statements of interventions, both treatments and controls for each period, with detailed description for different types of interventions, including Chinese herbal medicine (CHM) formulas and acupuncture. For specific guidance on reporting CHM formulas, see CONSORT – CHM Formulas  $2017^{22}$  (Table 3) and acupuncture see STRICTA 2010 Statement<sup>21</sup> (Table 4).

Indications and relevant clinical or mechanistic studies concerning the interventions should be reported if possible. As for CHM formulas, detailed description of the name, source, and dosage form etc. is indispensable. In CONSORT-CHM Formulas 2017, there is elaborate reporting standard for the interventions,<sup>22</sup> so when the N-of-1 trial is designed to evaluate CHM formulas, Item5 in CONSORT-CHM Formulas 2017 (Table 3) is recommended. In addition, the effectiveness of certain TCM techniques like acupuncture and massage mainly hinges on the experience of the practitioner, when the intervention of the N-of-1 trial(s) is acupuncture, we recommend to use the six items in STRICTA  $2010^{21}$  (Table 4) for reporting. Detailed reporting of the TCM interventions is a necessity for objective and scientific assessment of trial results and replication. Furthermore, researchers should state how participants learn of the interventions (e.g. through the informed consent). In placebo controlled N-of-1 trials, describing the features of placebo relative to the interventions (e.g. in terms of color, smell, taste, appearance, and packaging) will help readers assess their comparability.

# 3.4. Blinding

#### 3.4.1. Item11a

Who (for example, participants, care providers, or those assessing outcomes) was blinded after assignment to interventions, and if the intervention cannot be blinded, state why.

# 3.4.2. Item11b

Description of the similarity of interventions, including placebo (for specific guidance on reporting controlled interventions, see Item5)

Triple blinding of patients, clinicians, and outcomes assessors is considered good research practice and would generate generalizable knowledge about the effects of treatment in clinical trials. In N-of-1 trial with even one participant, blinding could guarantee the effect evaluation objective and reliable. The similarity of the characteristics of interventions is stated as the evidence of the method of blinding.<sup>35</sup> As for the particularity of TCM interventions, placebo is relative difficult, which should be designed identical with the study drug by qualified pharmacists of TCM. In the N-of-1 trial for acupuncture, sham acupuncture is a desired approach of blinding.<sup>36</sup>

# 4. Discussion

Evidence from N-of-1 trials is demonstrating clinical progresss

No Title, abstract and keywords 1a 1b 1b Background Background 0bjectives 2a2		
	Item	No Item
	Identify as an "N-of-1 trial" in the title For series: Identify as "a series of N-of-1 trials" in the title Structured summary of trial design, methods, results, and conclusions (for specific guidance, see CENT guidance for abstracts <sup>20</sup> )	<ul> <li>1a Identify as an "N-of-1 trial for traditional Chinese medicine" in the title; for series: Identify as "a series of N-of-1 trials for traditional Chinese medicine" in the title</li> <li>1b Determination of appropriate keywords. including "traditional Chinese medicine" and</li> </ul>
Mathode	Specific objectives or hypotheses	25
Methods Trial design 3a 3b Participant(s) 4a	Describe trial design, planned number of periods, and duration of each period (including run-in and wash out, if applicable) In addition for series: Whether and how the design was individualized to each participant, and explain the series design Important changes to methods after trial start (such as eligibility criteria), with reasons Diagnosis or disorder, diagnostic criteria, comorbid conditions, and concurrent therapies For series: Eligibility criteria for participants	<ul> <li>3a</li> <li>3b</li> <li>3b</li> <li>3b</li> <li>3b</li> <li>3b</li> <li>3c</li> <li>3b</li> <li>3b</li> <li>3c</li> <li>3</li></ul>
4b 4c Interventions 5	Settings and locations where the data were collected Whether the trial(s) represents a research study and if so, whether institutional ethics approval was obtained The interventions for each period with sufficient details to allow replication, including how and when where were actually administered	found 4b 4c 5 Statements of interventions, both treatments and controls for each period, with detailed description for different trones of interventions includino Chinese herbal modicine (CHM)
Outcomes 6a1		formulas and acumenture. For specific guidance on reporting CHM formulas, see formulas and acumenture. For specific guidance on reporting CHM formulas, see CONSORT-CHM Formulas 2017 <sup>22</sup> (Table 3) and acupuncture see STRICTA 2010 Statement <sup>21</sup> (Table 4) 6a1 Primary/secondary outcome measures, including outcomes with TCM syndrome 6a2
6b Sample size 7a 7b	assessment tools Any changes to trial outcomes after the trial commenced, with reasons How sample size was determined When applicable, explanation of any interim analyses and stopping guidelines	6b 7a 7b
Randomisation: Sequence generation	Whether the order of treatment periods was randomized, with rationale, and method used to generate allocation sequence	80
8b Allocation concealment mechanism 9	When applicable, type of randomization; details of any restrictions (such as pairs, blocking) Full, intended sequence of periods Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions.	8 × 6
Implementation 10	interventions were assigned Who generated the random allocation sequence, who enrolled participants, and who assigned numbinous to interventions	10
Blinding 11a		11a who (for example, participants, care providers, or those assessing outcomes) was blinded after assignment to interventions, and if the intervention cannot be blinded, state why 11b Description of the similarity of interventions, including placebo (for specific guidance on reporting controlled interventions. see <i>Items</i> )

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Section/Topic	CENT 2015	2015	CENT 1	CENT for TCM
	No	ltem	No	ltem
Statistical methods	12a	Methods used to summarize data and compare interventions for primary and secondary	12a	
	12b	ouccourse For series: If done, methods of quantitative synthesis of individual trial data, including subgroup analyses, adjusted analyses, and how heterogeneity between participants was assessed (for specific guidance on reporting syntheses of multiple trials, please consult the PRISMA Statement <sup>20</sup>	12b	For series: methods of quantitative synthesis of individual trial data, including subgroup analyses (with the assessment of heterogeneity), Bayesian analysis, adjusted analyses (for specific guidance on reporting syntheses of multiple trials, please consult the PRISMA Statement <sup>27</sup> for the onidance on reporting Bayesian analysis, see the ROBI(ST criteria <sup>28</sup> )
	12c	Statistical methods used to account for carryover effect, period effects, and intra-subject correlation	12c	
<b>Results</b> Participant flow (a diagram is strongly	13a1	Number and sequence of periods completed, and any changes from original plan with	<b>1</b> 3a	
recommended)	13a2	reasons For series: The number of participants who were enrolled, assigned to interventions, and	13b	
	13b	analyzed for the primary outcome For series: Losses or exclusions of participants after treatment assignment, with reasons, and neriod in which this occurred. if annlicable	13c	
Recruitment	14a 14b		14a 14b	
Baseline data Numbers analyzed	15 16	A table showing baseline demographic and clinical characteristics for each group For each intervention, number of periods analyzed. In addition for series: If quantitative	15 16	
Outcomes and estimation	17a1	synthesis was performed, number of trials for which data were synthesized For each primary and secondary outcome, results for each period; an accompanying	17a1	
	17a2	figure displaying the trial data is recommended For each primary and secondary outcome, the estimated effect size and its precision (such as 95% confidence interval) In addition for series: If quantitative synthesis was performed, group estimates of effect and precision for each primary and secondary	17a2	
	17b	ouccome For binary outcomes, presentation of both absolute and relative effect sizes is recommended.	17b	
Ancillary analyses	18	Results of any other analyses performed, including assessment of carryover effects, period effects, intra-subject correlation In addition for series: If done, results of subgroup or sensitivity analyses	18	
Harms	19	All harms or unintended effects for each intervention (for specific guidance see CONSORT for harms <sup>29</sup> )	19	
Discussion Limitations	20	Trial limitations. addressing sources of potential bias. imprecision. and. if relevant.	20	
Generalizahility	21		16	Whether the trial findinos andivable for other nationts state why
Interpretation	22	interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	22	ستخليط بناد بابقا التابسوة فواويدهماء إما ويناد ومنادي ومنديس
Other information				
Registration	23	Registration number and name of trial registry	23	
Protocol Funding	24	Where the full trial protocol can be accessed, if available Sources of funding and other summer (such as summly of drugs) role of funders	77 17	

Section/Topic	No	Item	Explanation and Elaboration
Title, abstract and keywords			
	1a	Identify as an "N-of-1 trial for traditional Chinese medicine" in the title; for series: Identify as "a series of N-of-1 trials for traditional Chinese medicine" in the title	Statement of the diagnosis, TCM syndromes and interventions in the title
	1b	Structured summary of trial design, methods, results, and conclusions (for specific guidance, see CENT guidance for abstracts <sup>20</sup> )	Description of background, trial design and statistical methods, results and conclusions in the abstract, What should be emphasized is the illustration of the specific TCM syndrome and
	1c	Determination of appropriate keywords, including "traditional Chinese medicine" and "(series of) N-of-1 trial(s)"	interventions in methods. N-of-1 trial(s) for TCM would be easily identified using the key words "traditional Chinese medicine" and "(series of) N-of-1 tria
			(s)".
Introduction			
Background	2a1	Scientific background and explanation of rationale	Statement of the rational for using N-of-1 trial to figure out why N
objectives	2a2	Rationale and explanation of the necessity for using N-of-1 approach	of-1 trial is the appropriate study type and how TCM theory informs the trial design; In stating the objectives, description of
	2b	Specific objectives or hypotheses	what interventions of TCM could be effective for which syndrom
Methods			
Trial design	3a	Describe trial design, planned number of periods, and duration of each period (including run-in and wash out, if applicable) In addition for series: Whether and how the design was individualized to each participant, and explain the series design	Detailed description of the trial design is essencial for the evaluation of the trial and replication. Since there is no universi methods to calculate the the onset time and half-time of Chines herbal medicine, preliminary trials are suggested for determinin
	3b	Important changes to methods after trial start (such as eligibility criteria), with reasons	the treatment duration and wash-out. Also, the periods could b determined by clinical experience and pharmacokinetic and pharmacodynamic studies, corresponding references should be cited.
Participant(s)	4a	Diagnosis of a Western medicine–defined disease and the TCM syndrome differentiation; For series: inclusion and exclusion criteria for participants The diagnostic criteria and syndrome differentiation reference should be given to where detailed	Rationale and how to diagnose the specific TCM syndrome, wit references
	4b	explanation can be found Settings and locations where the data were collected	Detailed description of where the data were collected, such as community hospitals, hospital outpatient. Who diagnose, who
	4c	Whether the trial(s) represents a research study and if so, whether institutional ethics approval was obtained	recruit participants should be introduced. According to Declaration of Helsinki, each clinical trial should first obtain the ethics approval before recruiting any participan and the athics number should be reported.
Interventions	5	Statements of interventions, both treatments and controls for each period, with detailed description for different types of interventions, including Chinese herbal medicine (CHM) formulas and acupuncture. For specific guidance on reporting CHM formulas, see CONSORT-CHM Formulas 2017 <sup>22</sup> (Table 3) and acupuncture see STRICTA 2010 Statement <sup>21</sup> (Table 4).	and the ethics number should be reported. Detailed description of different interventions: name, properties administration route and dosage of each Chinese herb, medical substances and dosage of Chinese herbal medicine formulas, th similarity of placebo with intervention if done, the quantity an experience of acupuncturists; the specific reporting guidance of the interventions see CONSORT-CHM Formulas 2017 and CTENCET 2010
Outcomes	6a1	Primary/secondary outcome measures, including outcomes with TCM syndrome	STRICTA 2010 Completely define the outcomes (both primary and secondary) and description of how the objective outcome measures were
	6a2	Description and measurement properties (validity and reliability) of outcome assessment tools	assessed and whether training was conducted to improve reliability of subjective outcomes
	6b	Any changes to trial outcomes after the trial commenced, with reasons	renability of subjective outcomes
Sample size	7a	How sample size was determined	Sample size refers to the number of periods for a single N-of-1 tri and number of participants for series of n-of-1 trials, the "samp size" within a specific patient refers to the number of crossover (repetitions) between treatments. Having more crossovers provides better precision for estimating treatment effect of the individual patient. A scientific calculation may help determine th sample size. <sup>2</sup>
	7b	When applicable, explanation of any interim analyses and stopping guidelines	Explanation of any interim and stopping asked by participants
Randomisation:			
Sequence generation	8a	Whether the order of treatment periods was randomized, with rationale, and method used to generate allocation sequence	
	8b	When applicable, type of randomization; details of any restrictions (such as pairs, blocking)	
	8c	Full, intended sequence of periods	
Allocation concealment	9	Mechanism used to implement the random allocation sequence	
mechanism		(such as sequentially numbered containers), describing any steps	
Implementation	10	taken to conceal the sequence until interventions were assigned Who generated the random allocation sequence, who enrolled	
Implementation	10	participants, and who assigned participants to interventions	
Blinding	11a	who (for example, participants, care providers, or those assessing outcomes) was blinded after assignment to interventions, and if the intervention cannot be blinded, state why	

the intervention cannot be blinded, state why

# Table 2 (continued)

Section/Topic	No	Item	Explanation and Elaboration
	11b	Description of the similarity of interventions, including placebo (for specific guidance on reporting controlled interventions, see <i>Item5</i> )	The similarity of interventions with placebo has to be described in detail
Statistical methods	12a	Methods used to summarize data and compare interventions for primary and secondary outcomes	Detailed description of the most appropriate statistical methods (such as carryover effect, period effects, and intra-subject correlation)
	12b	For series: methods of quantitative synthesis of individual trial data, including subgroup analyses (with the assessment of heterogeneity), Bayesian analysis, adjusted analyses (for specific guidance on reporting syntheses of multiple trials, please consult the PRISMA Statement, <sup>27</sup> for the guidance on reporting Bayesian analysis, see the ROBUST criteria <sup>28</sup> )	
	12c	Statistical methods used to account for carryover effect, period effects, and intra-subject correlation	
Results			
Participant flow (a diagram is strongly recommended)	13a1 13a2	Number and sequence of periods completed, and any changes from original plan with reasons For series: The number of participants who were enrolled,	Detailed description of the number of participants who were enrolled in inclusion and exclusion, assigned to interventions, followed up and analyzed for the primary outcome, with reasons
	13az	assigned to interventions, and analyzed for the primary outcome For series: Losses or exclusions of participants after treatment	ionowed up and analyzed for the primary outcome, with reasons
		assignment, with reasons, and period in which this occurred, if applicable	
Recruitment	14a 14b	Dates defining the periods of recruitment and follow-up Whether any periods were stopped early and/or whether trial	
Baseline data	15	was stopped early, with reason(s) A table showing baseline demographic and clinical characteristics for each group	Baseline characteristics of participants, such as stage of disease development, and in series of N-of-1 trials a table is recommended
Numbers analyzed	16	For each intervention, number of periods analyzed. In addition for series: If quantitative synthesis was performed, number of trials for which data were synthesized	Statement of the number of intervention periods
Outcomes and estimation	17a1	For each primary and secondary outcome, results for each period; an accompanying figure displaying the trial data is recommended	More than one outcome would be observed and analyzed in a trial, so establishing the primary outcome in advance is important for
	17a2	For each primary and secondary outcome, the estimated effect size and its precision (such as 95% confidence interval) In addition for series: If quantitative synthesis was performed, group estimates of effect and precision for each primary and secondary outcome	unbiased interpretation of results. When writing the trial paper, only primary outcomes occur in the abstract. Also, the unexpected or unplanned outcomes of the trial need to be explained in the paper
	17b	For binary outcomes, presentation of both absolute and relative	
Ancillary analyses	18	effect sizes is recommended Results of any other analyses performed, including assessment of carryover effects, period effects, intra-subject correlation In addition for series: If done, results of subgroup or sensitivity analyses	Analyses of the effect size of group comparison (risk ratio, relative risk, odds ratio, difference of median and mean survival time)
Harms	19	All harms or unintended effects for each intervention (for specific guidance see CONSORT for harms <sup>29</sup> )	What has to be emphasized is that the harms or unintended adverse effects of TCM interventions are usually not be detected in the short term, so long-term observation and comprehensive reporting is necessary
Discussion Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses	Discussing limitations of the trial will help shape future researches
Generalizability	21	Whether the trial findings applicable for other patients, state why	N-of-1 trial results may not only suggest the best treatment options for the individual but may potentially extend to patients with similar conditions. However, since a lack of universal standard for TCM syndrome differentiation and treatment, it is quite difficult to assess the generalizability. In the discussion, whether there is generalization, the reasons should be stated, because researchers' analysis and thoughs would be valuable inspiration and reference for readers and future studies.
Interpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	
Other information Registration	23	Registration number and name of trial registry	Clinical trials registration, as a fundamental reporting element, guards against publication bias and reduces confusion introduced by post-analysis.
Protocol Funding	24 25	Where the full trial protocol can be accessed, if available Sources of funding and other support (such as supply of drugs), role of funders	

objectively, supports better treatment planning and leads to better outcomes for the individual. In TCM field, N-of-1 trials are an innovative approach for effect estimation of the individualized treatments, like CHM formulas, acupuncture and massage etc. In fact, present N-of-1 trials have been used extensively to evaluate TCM therapeutic effectiveness. If well reported, the outcomes can be translated into useful clinical information by clinicians and decision-makers. For presenting objective and reliable evidence of the efficacy and safety

# Table 3

Specific 9	guidance on re	porting CHN	I formulas (Iten	1 5 of CONSORT -	- CHM Formulas 2017 <sup>22</sup> ).

Item (Sub-item)	Description(s) for different types of formulas should include the following:
5a. For fixed CHM formulas	1 Name, source, and dosage form (e.g. decoctions, granules, powders)
	2 Name, source, processing method, and dosage of each medical substance. Names of substances should be presented in at least 2
	languages: Chinese (Pinyin), Latin, or English. Names of the parts of the substances used should be specified.
	3 Authentication method of each ingredient and how, when, where, and by whom it was conducted; statement of whether any
	voucher specimen was retained, and if so, where they were kept and whether they are accessible
	4 Principles, rationale, and interpretation of forming the formula
	5 Reference(s) as to the efficacy of the formula, if any
	6 Pharmacologic study results of the formula, if any
	7 Production method of the formula, if any
	8 Quality control of each ingredient and of the product of the formula, if any. This would include any quantitative and/or qualitative
	testing method(s); when, where, how, and by whom these tests were conducted; whether the original data and samples were kept,
	and, if so, whether they are accessible.
	9 Safety assessment of the formula, including tests for heavy metals and toxic elements, pesticide residues, microbial limit, and acute/
	chronic toxicity, if any. If yes, it should be stated when, where, how, and by whom these tests were conducted; if the original data
	and samples were kept; and, if so, whether they are accessible.
	10 Dosage of the formula, and how the dosage was determined
	11 Administration route (e.g., oral, external)
5b. For individualized CHM formulas	1 See recommendations 5a 1–11
	2 Additional information: how, when, and by whom the formula was modified
5c. For patent proprietary CHM formulas	1 Reference to publicly available materials, such as pharmacopeia, for the details about the composition, dosage, efficacy, safety, and quality control of the formula
	2 Illustration of the details of the formula, namely 1) the proprietary product name (i.e., brand name), 2) name of manufacturer, 3)
	lot number, 4) production date and expiry date, 5) name and percentage of added materials, and 6) whether any additional quality control measures were conducted
	3 Statement of whether the patent proprietary formula used in the trial is for a condition that is identical to the publicly available
	reference
5d. Control groups	Placebo control
	1 Name and amount of each ingredient
	2 Description of the similarity of placebo with the intervention (e.g., color, smell, taste, appearance, packaging)
	3 3 Quality control and safety assessment, if any
	4 Administration route, regimen, and dosage
	5 Production information: where, when, how, and by whom the placebo was produced
	Active control
	1 If a CHM formula was used, see recommendations 5a–5c
	2 If a chemical drug was used, see item 5 of the CONSORT Statement <sup>34</sup>

of TCM interventions, a high-quality standard is needed to guide reporting. Because syndrome differentiation underlies the clinical practice of TCM, CENT for TCM took account of TCM theory on the basis of CENT 2015 to promote the standardization of N-of-1 trials of TCM and provide enough information to judge the methodology and the reliability of outcomes.

CENT for TCM was developed based on the principles of transparency, consistency, and full disclosure, which was completed by an innovative group including clinical epidemiologists, TCM clinicians (physicians, acupuncturists, etc.) and pharmacists, clinical research methodologists, journal editors and statisticians.

CENT for TCM checklist consists of 25 items, 40 sub-items, within six domains. These recommendations are applicable to N-of-1 trials of different TCM interventions such as CHM formulas and acupuncture. In CENT for TCM, the syndrome differentiation are elaborated.

For optimizing N-of-1 trials of TCM, this reporting guideline ultimately depends on its use. CENT for TCM could assist researchers with scientific design, strict implementation and complete reporting of N-of-

#### Table 4

Specific g	uidance on reportir	ng acupuncture	(information for	or reporting a	acupuncture ir	nterventions in	STRICTA 2010 <sup>21</sup> ).

Item	Detail			
1. Acupuncture rationale	<ul> <li>1a. Style of acupuncture (e.g. Traditional Chinese Medicine, Japanese, Korean, Western medical, Five Element, ear acupuncture, etc)</li> <li>1b. Reasoning for treatment provided, based on historical context, literature sources, and/or consensus methods, with references where appropriate</li> <li>1c. Extent to which treatment was varied</li> </ul>			
2. Details of needling	<ul> <li>2a. Number of needle insertions per subject per session (mean and range where relevant)</li> <li>2b. Names (or location if no standard name) of points used (uni/bilateral)</li> <li>2c. Depth of insertion, based on a specified unit of measurement, or on a particular tissue level</li> <li>2d. Response sought (e.g. de qi or muscle twitch response)</li> <li>2e. Needle stimulation (e.g. manual, electrical)</li> <li>2f. Needle retention time</li> <li>2g. Needle type (diameter, length, and manufacturer or material)</li> </ul>			
3. Treatment regimen	3a. Number of treatment sessions 3b. Frequency and duration of treatment sessions			
4. Other components of treatment	<ul><li>4a. Details of other interventions administered to the acupuncture group (e.g. moxibustion, cupping, herbs, exercises, lifestyle advice)</li><li>4b. Setting and context of treatment, including instructions to practitioners, and information and explanations to patients</li></ul>			
5. Practitioner background	Description of participating acupuncturists (qualification or professional affiliation, years in acupuncture practice, other relevant experience)			
6. Control or comparator interventions	6a. Rationale for the control or comparator in the context of the research question, with sources that justify this choice 6b. Precise description of the control or comparator. If sham acupuncture or any other type of acupuncture-like control is used, provide details as for Items 1 to 3 above.			

1 trials so as to generate high-quality evidence and to encourage subsequent meta-analysis of N-of-1 trials.

The CONSORT relevant checklists and CENT achieved great success in promoting changes in practice and improving the general quality of trials. For better dissemination, we will introduce CENT for TCM to all TCM practitioners, researchers, peer reviewers, and journal editors through publication of relevant articles and various workshops conferences. We also accept comments and feedback from those in research or clinical practice in order to ameliorate the reporting guidance.

In the development of CENT for TCM, we recruited 17 specialists for the Delphi survey, which was not universal enough. In future iterations of this guideline, the working group will convene a broader group of experts to obtain new concept to revise it. And the working group will update regularly and modify the checklist when valuable comments provided.

CENT for TCM highlights the features of TCM and provides a comprehensive and explicit guide for clinical researchers of the scientific, normative and transparent reporting of TCM N-of-1 trials. We hope it will promote rigorous evaluation of TCM interventions among TCM researchers and the broader scientific community alike.

## 5. Conclusions

Through rigorous and transparent research, we developed this CENT for TCM to guide more standard implementation and reporting of TCM N-of-1 trials. It is expected that TCM researchers would carry out high quality N-of-1 trials both in methodology and reporting with the assistance of CENT for TCM checklist, subsequently produce convicing evidence to promote TCM clinical practice.

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# Declaration of competing interest

The authors declare no conflicts of interest.

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